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General information about company	
Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641AO1013
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)
(A)	Promoter & Promoter Group	6	3064051			3064051	54.86
(B)	Public	1745	2520949			2520949	45.14
(C)	Non Promoter- Non Public						
(C1)	Shares underlying DRs						
(C2)	Shares held by Employee Trusts						
	Total	1751	5585000			5585000	100

Number of Voting Rightsheld in each class of securities (X)						
No of Voting (XIV) Rights			Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)
Class eg: X	Class eg: Y	Total				
30,64,051.00		3064051.00	54.86			
2520949.00		2520949.00	45.14			
5585000.00		5585000.00	100.00			

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares			
	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Shareholding (No. of shares) under			
						Sub-category (i)	Sub-category (ii)	Sub-category (iii)	
54.86	96200	3.14		3064051					
45.14	1560	0.06			2480467	0	0	0	
100	97760	1.75			5544518	0	0	0	

Sr.	Category & Name of the Shareholders (i)	Nos. Of Shareholders (ii)	No. of fully paid up equity shares held (iv)	No. Of Partly paid-up equity shares held (v)	No. Of shares underlying Depository Receipts (vi)	Total nos. shares held (vii) = (iv)+(v)+ (vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities	
								Class eg: X	Class eg: Y

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Indian									
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
(a)	Individuals/Hindu undivided Family	2	219200			219200	3.92	219200.00	
(b)	Central Government/ State Government(s)								
(c)	Financial Institutions/Banks								
(d)	Any Other (Specify)	4	2844851			2844851	50.94	2844851.00	
	Sub-Total (A)(1)	6	3064051			3064051	54.86	3064051.00	

Foreign									
(a)	Individuals (NonResident Individuals/ Foreign Individuals)								
(b)	Government								
(c)	Institutions								
(d)	Foreign Portfolio Investor								
(e)	Any Other (Specify)								
	Sub-Total (A)(2)								
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	3064051			3064051	54.86	3064051.00	

Details of Shares which remain unclaimed for Promoter & Promoter Group

Table III - Statement showing shareholding pattern of the Public Shareholder

Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.

Institutions (Domestic)									
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
(a)	Mutual Funds								
(b)	Venture Capital Funds								
(c)	Alternate Investment Funds								
(d)	Banks								
(e)	Insurance Companies								
(f)	Provident Funds/ Pension Funds								
(g)	Asset reconstruction companies								
(h)	Sovereign Wealth Funds								
(i)	NBFCs registered with RBI								
(j)	Other Financial Institutions								
(k)	Any Other (Specify)								

(2)	Institutions (Foreign)	Sub-Total [B] (1)
(a)	Foreign Direct Investment	
(b)	Foreign Venture Capital Investors	
(c)	Sovereign Wealth Funds	
(d)	Foreign Portfolio Investors Category I	
(e)	Foreign Portfolio Investors Category II	
(f)	Oversseas Depositories (holding DRI) (balancing figure)	
(g)	Any Other (Specify)	
(3)	Central Government / State Government(s)	Sub-Total [B] (2)
(a)	Central Government / President of India	
(b)	State Government / Governor	
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter	
(4)	Non-institutions	Sub-Total [B] (3)
(a)	Associate companies / Subsidiaries	
(b)	Directors and their relatives (excluding independent directors and nominee directors)	
(c)	Key Managerial Personnel	
(d)	Relatives of promoters (other than immediate relatives of promoters disclosed under 'Promoter and Promoter Group' category)	
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is trustee, beneficiary, or author of the trust	
(f)	Investor Education and Protection Fund (IEPF)	
(g)	Resident individuals holding nominal share capital up to Rs. 2 lakhs	
(h)	Resident individual holding nominal share capital in excess of Rs. 2 lakhs	
(i)	Non Resident Indians (NRIs)	
(j)	Foreign Nationals	

(X)

3064051

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Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	Sub-category (ii)	Sub-category (iii)

[illegible]

[illegible]

[illegible]

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class (ix)	
						No of Voting (XIV) Rights	Total

A1(a) Individuals/Hindu undivided Family

Add

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1	NILANGI PAREKH	AUPPP3852K	123000	123000	2.20	123000.00	123000.00
2	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00	96200.00
3	GAURI SHANKAR AJAY KUMAR (HUF)	AABHG5183R	0	0	0.00	0.00	0.00
4	AJAY KUMAR KAVAN (HUF)	AACHA9009B	0	0	0.00	0.00	0.00
5	SUMAN BHARTIA	AEEP89602B	0	0	0.00	0.00	0.00
6	PAYAL SARAF	AFYPK7045N	0	0	0.00	0.00	0.00
7	RAHUL KAVAN	AGAPK0442L	0	0	0.00	0.00	0.00
8	Ajay Kumar Kavan	AGGPK0892G	0	0	0.00	0.00	0.00
9	LALITA KAVAN	AGGPK0893H	0	0	0.00	0.00	0.00
Total			219200	219200	3.92	219200.00	219200.00

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Class of securities		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X)(a) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)			

2.20	2.20	0	0.00	123000		Promoter Group
1.72	1.72	96200	100.00	96200		Promoter
0.00	0.00	0	0.00	0		Promoter Group
0.00	0.00	0	0.00	0		Promoter Group
0.00	0.00	0	0.00	0		Promoter Group
0.00	0.00	0	0.00	0		Promoter Group
0.00	0.00	0	0.00	0		Promoter Group
0.00	0.00	0	0.00	0		Promoter Group
0.00	0.00	0	0.00	0		Promoter
0.00	0.00	0	0.00	0		Promoter Group
3.92	3.92	96200	43.89	219200		Promoter Group

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Serial No.	Category	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v) + (vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Vo	
								No of Vo	Rig
A1(d)	Any Other (specify)								Class eg: X
<div>AddDelete</div>									
1	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	1185751	1185751	21.23	1185751.00	
2	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVAT	AADCS8612C	1	841900	841900	15.07	841900.00	
3	Bodies Corporate	IMACKERTICH CONSULTANCY SERVICES PRIVATI	AABCM5680Q	1	557200	557200	9.98	557200.00	
4	Bodies Corporate	LEND LEASE COMPANY (INDIA) LTD.	AAACI4431F	1	260000	260000	4.66	260000.00	
Click here to go back				Total	4	2844851	50.94	2844851.00	

ing rights held in each class of securities							
(IX)		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
ing (XV) hts	Total as a % of Total Voting rights		No. (a)	As a % of total Shares held (b)			
Total							
1185751.00	21.23	21.23	0	0.00	1185751		Promoter
841900.00	15.07	15.07	0	0.00	841900		Promoter
557200.00	9.98	9.98	0	0.00	557200		Promoter
260000.00	4.66	4.66	0	0.00	260000		Promoter Group
2844851.00	50.94	50.94	0	0.00	2844851		

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		
						No of Voting (xiv) Rights		Total as a % of Total Voting rights
						Class eg: X	Total	
B4(f)	Investor Education and Protection Fund (IEPF)							
<div>AddDelete</div>						Disclosure of shareholder holding more than 1% of total number of shares		
1	INVESTOR EDUCATION AND PROTECTION FU	ZZZZZ999Z	126011	126011	2.26	126011.00	126011.00	2.26
Click here to go back			Total	126011	2.26	126011.00	126011.00	2.26

Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
	No. (a)	As a % of total Shares held (b)			Shareholding (No. of shares) under		
					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
2.26	0	0.00	126011	1	0	0	0
2.26	0	0.00	126011		0	0	0

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class	
						(X)	
						No of Voting (XIV) Rights	Total
<div> <div>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</div> <div> <div>Add</div> <div>Delete</div> </div> </div>							
Disclosure of shareholder holding more than 1% of total number of shares							
1	PUNABHAI KESHAVBHAI JADEJA	AEDPK1160L	247111	247111	4.42	247111.00	247111.00
2	MINAL BHARAT PATEL	AACPS5126G	219349	219349	3.93	219349.00	219349.00
3	SANGEETHA S	AUP53739F	165000	165000	2.95	165000.00	165000.00
4	BHOENDRA KUMAR	APMPK3832R	105856	105856	1.90	105856.00	105856.00
5	RUCHI GANGWAR	AONPG0792C	73406	73406	1.31	73406.00	73406.00
6	MITHALAL HASTIMAL GANDHI	ABGPG9310G	62087	62087	1.11	62087.00	62087.00
7	MANJU GAGGAR	AGHPG4408M	58550	58550	1.05	58550.00	58550.00
Total			931359	931359	16.68	931359.00	931359.00

ss of securities		Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII)+(X) As a % of (A+B+C2)		Number of Locked in shares (XII) No. (a) As a % of total Shares held (b)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
Total as a % of Total Voting rights								Shareholding (No. of shares) under		
								Sub-category (i)	Sub-category (ii)	Sub-category (iii)
4.42	4.42	0	0.00	247111	0	0		0	0	0
3.93	3.93	0	0.00	219349	0	0		0	0	0
2.95	2.95	0	0.00	165000	0	0		0	0	0
1.90	1.90	0	0.00	105856	0	0		0	0	0
1.31	1.31	0	0.00	73406	0	0		0	0	0
1.11	1.11	0	0.00	62087	0	0		0	0	0
1.05	1.05	0	0.00	58550	0	0		0	0	0
16.68	16.68	0	0.00	931359	0	0		0	0	0

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Serial No.	Name of the Shareholders (i)	PAN (ii)	No. of fully paid up equity shares held (iv)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)		
						No of Voting (Xiv) Rights		Total as a % of Total Voting rights
						Class eg: X	Total	
B4(i) Bodies Corporate								
<div>AddDelete</div> <div>Disclosure of shareholder holding more than 1% of total number of shares</div>								
1	PLASMA COMMERCIALS PRIVATE LIMITED	AAGCP3305G	264500	264500	4.74	264500.00	264500.00	4.74
2	ANTRIKSH VYAPAR LIMITED	AACCA5697E	73221	73221	1.31	73221.00	73221.00	1.31
3	SHREE BAHUBAL STOCK BROKING LIMITED	AAEC34019E	60888	60888	1.09	60888.00	60888.00	1.09
Click here to go back			Total	398609	398609	7.14	398609.00	7.14

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Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
	No. (a)	As a % of total Shares held (b)			Shareholding (No. of shares) under		
					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
	4.74	0	0.00		0	0	0
	1.31	0	0.00	73221	0	0	0
	1.09	0	0.00	60888	0	0	0
	7.14	0	0.00	398609	0	0	0

Serial No.	Category	Category / More than 1 percentage	Name of the Shareholders (i)	PAN (ii)	No. of the Shareholders (i)	No. of fully paid up equity shares held (iv)	Total nos. shares held (viii) = (vi)+(v)+(vii)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)
B4(m) Any Other (specify)								
Add Delete								
1	HUF	Category			44	62272	62272	1.11
2	Clearing Members	Category			2	14200	14200	0.25
3	LP	Category			1	547	547	0.01
Click here to go back					Total	47	77019	77019
								1.37

Number of Voting Rights held in each class of securities (IX)				Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)÷(X) As a % of (A+B+C2)		Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares Shareholding (No. of Shares) under		
No of Voting (XIV) Rights		Total as a % of Total Voting rights				No. (a)	As a % of total Shares held (b)			Sub-category (i)	Sub-category (ii)	Sub-category (iii)
Class eg: X	Total											
62272	62272	1.11	1.11	0	0.00	62272	0	0	0	0	0	0
14200	14200	0.25	0.25	0	0.00	14200	0	0	0	0	0	0
547	547	0.01	0.01	0	0.00	547	0	0	0	0	0	0
77019.00	77019.00	1.37	1.37	0	0.00	77019	0	0	0	0	0	0

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Sr. No.	Details of the SBO					Details of the registe		
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national
significant beneficial owners								
<div>AddDelete</div>								
1	UTSAV PAREKH	AGHPP4467H		India		PROGRESSIVE STAR FINANCE STEWART INVESTMENT	AABCP6020F	
2	UTSAV PAREKH	AGHPP4467H		India			AADCS8612C	

SBO owner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*.					Date of creation / acquisition of significant beneficial interest
Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
India			21.23		No	No	27-03-2024
India			15.07		No	No	05-09-2024

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Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	0.25
As on the end of previous 1st quarter	100.00	5.16
As on the end of previous 2nd quarter	100.00	5.15
As on the end of previous 3rd quarter	100.00	9.92
As on the end of previous 4th quarter	100.00	9.92

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.