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### General information about company

Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	Regulation 31 (1) (b)
Shareholding pattern filed under	
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No	No	No
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

**Table I - Summary Statement holding of specified securities****Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)
							As a % of (A+B+C2)
(A) Promoter & Promoter Group		6	3064051			3064051	54.86
(B) Public		1745	2520949			2520949	45.14
(C) Non Promoter- Non Public							
(C1) Shares underlying DRs							
(C2) Shares held by Employee Trusts							
<b>Total</b>		<b>1751</b>	<b>5585000</b>			<b>5585000</b>	<b>100</b>

Number of Voting Rightsheld in each class ofsecurities(IX)		Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (X)i	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (X)i (a)
Class eg: X	No of Voting (XIV) Rights eg:y	Total			
30,64,051.00	3064051.00	54.86			
2520949.00	2520949.00	45.14			
<b>5585000.00</b>	<b>5585000.00</b>	<b>100.00</b>			

Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (X1)= (Y1)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Sub-categorization of shares Shareholding (No. of shares) under			
	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	Number of equity shares held in dematerialized form (XIV)	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
54.86	96200	3.14			3064051			
45.14	1560	0.06			2480467	0	0	0
100	97760	1.75			5544518	0	0	0



(2) Institutions (Foreign)		Sub-Total (B)(1)						
(a)	Foreign Direct Investment							
(b)	Foreign Venture Capital Investors							
(c)	Sovereign Wealth Funds							
(d)	Foreign Portfolio Investors Category I							
(e)	Foreign Portfolio Investors Category II							
(f)	Overseas Depositories (holding DRs) (balancing figure)							
(g)	Any Other (Specify)							
(3) Central Government/ State Government(s)		Sub-Total (B)(2)						
(a)	Central Government, President of India							
(b)	State Government, Governor							
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is promoter							
(4) Non-institutions		Sub-Total (B)(3)						
(a)	Associate companies / Subsidiaries							
(b)	Directors and their relatives (excluding independent directors) and nominees (directors)							
(c)	Key Managerial Personnel							
(d)	Relatives of promoters (other than 'immediate relatives' of promoter's disclosed under 'Promoter and Promoter Group' category)							
(e)	Trusts where any person belonging to Promoter and Promoter Group' category is trustee, 'beneficiary' or 'author of the trust'							
(f)	Investor Education and Protection Fund (IEPF)	1	126011					
(g)	Resident individuals holding nominal share capital up to Rs. 2 lakhs	1543	798551	126011	2.26	126011		
(h)	Resident individuals holding nominal share capital in excess of Rs. 2 lakhs	11	1028543	798551	14.30	798551		
(i)	Non Resident Indians (NRIs)	9	14478	1028543	18.42	1028543		
(j)	Foreign Nationals			14478	0.26	14478		



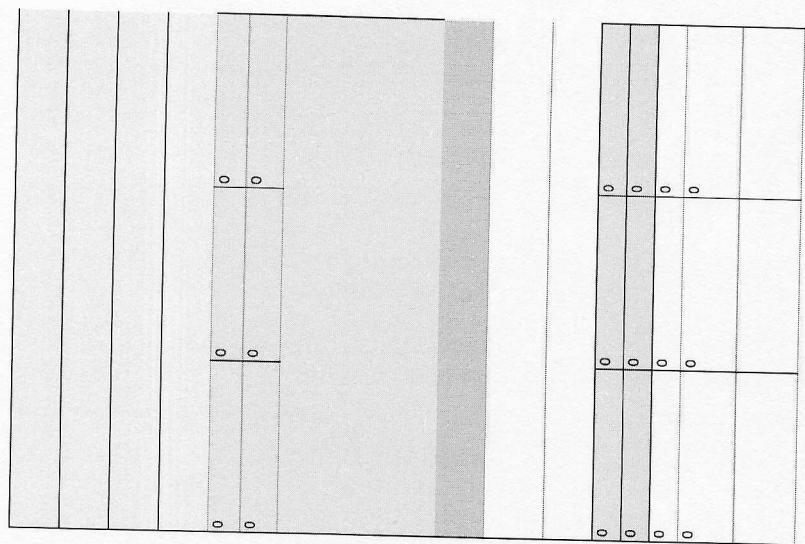




476347	8.53	8.53	1560	0.33
77019	1.38	1.38	0	0.00
2520949	45.14	45.14	1560.00	0.06
2520949	45.14	45.14	1560	0.06
2480457				
2480457				







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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each cla	
						No of Voting (XIV) Rights	Total
<b>A1(a) Individuals/Hindu undivided Family</b>							
						Class eg:X	Total
1	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20	123000.00	123000.00
2	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00	96200.00
3	GAURI SHANKAR AJAY KUMAR (HUF)	AABHG5183R	0	0	0.00	0.00	0.00
4	AJAY KUMAR KAYAN (HUF)	AACHA9009B	0	0	0.00	0.00	0.00
5	SUMAN BHARTIA	AEEPB9602B	0	0	0.00	0.00	0.00
6	PAYAL SARAF	AFYPIK7045N	0	0	0.00	0.00	0.00
7	RAHUL KAYAN	AGAPK0442L	0	0	0.00	0.00	0.00
8	Ajay Kumar Kayan	AGGPK0892G	0	0	0.00	0.00	0.00
9	LAJITA KAYAN	AGGPK0893H	0	0	0.00	0.00	0.00
<a href="#">Click here to go back</a>		Total	219200	219200	3.92	219200.00	219200.00

ss of securities	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(XI)(a) As a % of (A+B+C2)	Number of Locked in shares (XII)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)			
2.20	2.20	0	0.00	123000	Promoter Group
1.72	1.72	96200	100.00	96200	Promoter
0.00	0.00	0	0.00	0	Promoter Group
0.00	0.00	0	0.00	0	Promoter Group
0.00	0.00	0	0.00	0	Promoter Group
0.00	0.00	0	0.00	0	Promoter Group
0.00	0.00	0	0.00	0	Promoter Group
0.00	0.00	0	0.00	0	Promoter Group
3.92	3.92	96200	43.89	219200	Promoter Group

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ing Rights held in each class of securities (IX)		Shareholding , as a % assuming full conversion of convertible securities	Number of Locked in shares (XII)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
ting (XIV) hts	Total as a % of a percentage of diluted share capital (XII)=(VII)+(X) As a % of (A+B+C2)	Total Voting rights	No. (a)	As a % of total Shares held (b)		
1185751.00	21.23	21.23	0	0.00	1185751	Promoter
841900.00	15.07	15.07	0	0.00	841900	Promoter
557200.00	9.98	9.98	0	0.00	557200	Promoter
260000.00	4.66	4.66	0	0.00	260000	Promoter
2844851.00	50.94	50.94	0	0.00	2844851	Promoter Group

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Number of Voting Rights held in each class of securities (IX)		
					No. of Voting Rights (XIV)	Class (VII) eg: X	Total Voting rights (XV)
<b>B4(f) Investor Education and Protection Fund (IEPF)</b>							
1	INVESTOR EDUCATION AND PROTECTION FU	zzzzzz9999Z	126011	126011	2.26	126011.00	126011.00
		<a href="#">Click here to go back</a>	Total	126011	2.26	126011.00	126011.00

Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares				
	No. (a)	As a % of total Shares held (b)			Shareholding (No. of shares) under				
					Sub-category (i)	Sub-category (ii)	Sub-category (iii)		
2.26	0	0.00	126011	1	0	0	0		
2.26	0	0.00	126011	0	0	0	0		

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Ss of securities	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)=(VII)+(X) As a % of (A+B+C2)	Sub-categorization of shares				
		Number of Locked in shares (XII)	Number of equity shares held in dematerialized form (XIV)	Shareholding (No. of shares) under PAN		
Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	Reason for not providing	Sub-category (i)	Sub-category (ii)	Sub-category (iii)
4.42	4.42	0	0.00	247111	0	0
3.93	3.93	0	0.00	219349	0	0
2.95	2.95	0	0.00	165000	0	0
1.90	1.90	0	0.00	105856	0	0
1.31	1.31	0	0.00	73406	0	0
1.11	1.11	0	0.00	62087	0	0
1.05	1.05	0	0.00	58550	0	0
16.68	16.68	0	0.00	931359	0	0

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Serial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Number of Voting Rights held in each class of securities (IX)		
					No. of Voting Rights (XIV)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)	Total as a % of Total Voting rights
<b>B4(I) Bodies Corporate</b>							
1	PLASMA COMMERCIALS PRIVATE LIMITED	AAGCP3305G	264500	264500	4.74	264500.00	264500.00
2	ANTRIKSH VYAPAAR LIMITED	AACCA5697E	73221	73221	1.31	73221.00	73221.00
3	SHREE BAHUBALI STOCK BROKING LIMITED	AAECS4019E	60888	60888	1.09	60888.00	60888.00
<a href="#">Click here to go back</a>		Total	398609	398609	7.14	398609.00	398609.00

Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
	No. (a)	As a % of total Shares held (b)			Sub-category (i)	Sub-category (ii)	Sub-category (iii)
4.74	0	0.00	264500	0	0	0	0
1.31	0	0.00	73221	0	0	0	0
1.09	0	0.00	60888	0	0	0	0
7.14	0	0.00	398609	0	0	0	0

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Number of Voting Rights held in each class of securities (XIV)		Number of Locked in shares (XII)		Number of equity shares held in dematerialized form (XIV)		Reason for not providing PAN		Sub-categorization of shares	
No. of Voting Rights		Total as a % of a) % of Total Voting rights		No. held As a % of total Shares		Sub-category (i)		Shareholding (No. of shares) under Sub-category (ii)	
Class eg: X	Total	(a) As a % of (A+B+C2)	(b)	(XIV)	(XIV)				
62272	62272	1.11	1.11	0	0.00	62272		0	0
14200	14200	0.25	0.25	0	0.00	14200		0	0
547	547	0.01	0.01	0	0.00	547		0	0
77019.00	77019.00	1.37	1.37	0	0.00	77019		0	0

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Sr. No.	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national
<b>significant beneficial owners</b>								
						<a href="#">Add</a>	<a href="#">Delete</a>	
1	UTSAV PAREKH	AGHPP4467H		India	PROGRESSIVE STAR FINANCE STEWART INVESTMENT	AABCP6020F		
2	UTSAV PAREKH	AGHPP4467H		India		AADCS8612C		

Name of the SBO		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:				Date of creation / acquisition of significant beneficial interest
		Whether by virtue of:				
Nationality	Nationality (Applicable in case of Any other is selected)	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
India			21.23		No	27-03-2024
India			15.07		No	05-09-2024

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**Table VI - Statement showing foreign ownership limits**

Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date		
As on the end of previous 1st quarter	100.00	0.25
As on the end of previous 2nd quarter	100.00	5.16
As on the end of previous 3rd quarter	100.00	5.15
As on the end of previous 4th quarter	100.00	9.92

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / Investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.